NONSIMPLE POLYOMINOES AND PRIME IDEALS

TAKAYUKI HIBI AND AYESHA ASLOOB QURESHI

ABSTRACT. It is known that the polyomino ideal arising from a simple polyomino comes from a finite bipartite graph and, in particular, it is a prime ideal. A class of nonsimple polyominoes \mathcal{P} for which the polyomino ideal $I_{\mathcal{P}}$ is a prime ideal and for which $I_{\mathcal{P}}$ cannot come from a finite simple graph will be presented.

Introduction

The systematic study of the binomial ideals arising from polyominoes originated in the work [9] by the second author. First, we briefly recall fundamental materials and basic terminologies on polyominoes and their binomial ideals. We refer the reader to [9] for further information on algebra and combinatorics on polyominoes.

(0.1) Let \mathbb{N} denote the set of nonnegative integers and

$$\mathbb{N}^2 = \{(i,j) : i, j \in \mathbb{N}\}.$$

Given a = (i, j) and $b = (k, \ell)$ belonging to \mathbb{N}^2 , we write a < b if i < k and $j < \ell$. When a < b, we define an *interval* [a, b] of \mathbb{N}^2 to be

$$[a,b] = \{c \in \mathbb{N}^2 : a \le c \le b\} \subset \mathbb{N}^2.$$

For an interval [a, b], the diagonal corners of [a, b] are a and b, and the anti-diagonal corners of [a, b] are $c = (i, \ell)$ and d = (k, j).

(0.2) A cell of \mathbb{N}^2 with the lower left corner $a \in \mathbb{N}^2$ is the interval C = [a, a + (1, 1)]. Its vertices are a, a + (1, 0), a + (0, 1) and a + (1, 1). Its edges are

$$\{a,a+(1,0)\},\{a,a+(0,1)\},\{a+(1,0),a+(1,1)\},\{a+(0,1),a+(1,1)\}.$$

Let V(C) denote the set of vertices of C and E(C) the set of edges of C.

(0.3) Let \mathcal{P} be a finite collection of cells of \mathbb{N}^2 . Then its vertex set is $V(\mathcal{P}) = \bigcup_{C \in \mathcal{P}} V(C)$ and its edge set is $E(\mathcal{P}) = \bigcup_{C \in \mathcal{P}} E(C)$. Let C and D be cells of \mathcal{P} . We say that C and D are connected if there exists a sequence of cells

$$\mathcal{C}: C = C_1, \dots, C_m = D$$

of \mathcal{P} such that $C_i \cap C_{i+1}$ is an edge of C_i for i = 1, ..., m-1. Furthermore, if $C_i \neq C_j$ for all $i \neq j$, then \mathcal{C} is called a *path* connecting C with D.

We say that \mathcal{P} is a *polyomino* if any two cells of \mathcal{P} are connected. A polyomino Q is a *subpolyomino* of \mathcal{P} if each cell belonging to \mathcal{Q} belongs to \mathcal{P} .

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(0.4) Let A and B be cells of \mathbb{N}^2 for which (i,j) is the lower left corner of A and (k,ℓ) is the lower left corner of B. If $i \leq k$ and $j \leq \ell$, then the *cell interval* of A and B is the set [A,B] which consists of those cells E of \mathbb{N}^2 whose lower left corner (r,s) satisfies $i \leq r \leq k$ and $j \leq s \leq \ell$.

Let \mathcal{P} be a finite collection of cells of \mathbb{N}^2 . We call \mathcal{P} row convex if the horizontal cell interval [A, B] is contained in \mathcal{P} for any cells A and B of \mathcal{P} whose lower left corners are in horizontal position. Similarly one can define column convex. We call \mathcal{P} convex if it is row convex and column convex.

An edge of \mathcal{P} is a *free* edge if it is an edge of only one cell of \mathcal{P} . The *boundary* $B(\mathcal{P})$ of \mathcal{P} is the union of all free edges of \mathcal{P} . A cell C of \mathcal{P} is a *border* cell if at least one of the edges of C is a free edge.

- (0.5) Each interval [a, b] of \mathbb{N}^2 can be regarded as a polyomino in the obvious way. This polyomino is denoted by $\mathcal{P}_{[a,b]}$. Let \mathcal{P} be a collection of cells of \mathbb{N}^2 and $[a, b] \subset \mathbb{N}^2$ an interval with $\mathcal{P} \subset \mathcal{P}_{[a,b]}$. Following [9], we say that a polyomino \mathcal{P} is simple if, for any cell C of \mathbb{N}^2 not belonging to \mathcal{P} , there exists a path $C = C_1, C_2, \ldots, C_m = D$ with each $C_i \notin \mathcal{P}$ such that D is not a cell of $\mathcal{P}_{[a,b]}$. Roughly speaking, a simple polyomino is a polyomino with no "hole" (see [9, Figure 3]).
- (0.6) Let \mathcal{P} be a finite collection of cells of \mathbb{N}^2 with $V(\mathcal{P})$ its vertex set. Let S denote the polynomial ring over a field K whose variables are those x_a with $a \in V(\mathcal{P})$. We say that an interval [a,b] of \mathbb{N}^2 is an interval of \mathcal{P} if $\mathcal{P}_{[a,b]} \subset \mathcal{P}$. For each interval [a,b] of \mathcal{P} , we introduce the binomial

$$f_{a,b} = x_a x_b - x_c x_d,$$

where c and d are the anti-diagonals of [a, b]. Such a binomial $f_{a,b}$ is said to be an inner 2-minor of \mathcal{P} . Write $I_{\mathcal{P}}$ for the ideal generated by all inner 2-minors of \mathcal{P} . Especially, when \mathcal{P} is a polyomino, we say that $I_{\mathcal{P}}$ is the polyomino ideal of \mathcal{P} .

Now, one of the most exciting algebraic problems on polyominoes is when a polyomino ideal is a prime ideal. It is known ([4] and [8]) that if a polyomino \mathcal{P} is simple, then its polyomino ideal $I_{\mathcal{P}}$ is a prime ideal. The polyomino ideals arising from simple polyominoes, however, turn out to be well-known ideals [7] arising from Koszul bipartite graphs. Thus, form a view point of finding a new class of binomial prime ideals, it is reasonable to study polyomino ideals of nonsimple polyominoes. In the present paper, a class of nonsimple polyominoes \mathcal{P} for which the polyomino ideal $I_{\mathcal{P}}$ is a prime ideal (Theorem 2.1) and for which $I_{\mathcal{P}}$ cannot come from a finite simple graph (Theorem 3.1) will be presented.

Finally the fact [1] that a binomial ideal is a prime ideal if and only if it is a toric ideal ([5, Chapter 5]) explains the reason why we are interested in polyomino ideals which are prime.

1. Gröbner bases of polyomino ideals

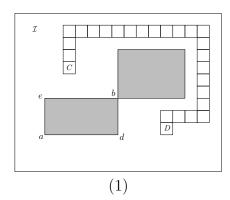
Let \mathcal{P} be a finite collection of cells of \mathbb{N}^2 . Let, as before, S denote the polynomial ring over a field K whose variables are those x_a with $a \in V(\mathcal{P})$. We work with the lexicographical order on S induced by the ordering of the variables x_a , $a \in V(\mathcal{P})$, such that $x_a > x_b$ with a = (i, j) and $b = (k, \ell)$, if i > k, or, i = k and $j > \ell$.

We refer the reader to [2, Chapter 2] and [5, Chapter 1] for basic terminologies and results on Gröbner bases.

Lemma 1.1 ([9]). Let \mathcal{P} be a collection of cells of \mathbb{N}^2 . Then the set of inner 2-minors of \mathcal{P} forms a reduced Gröbner basis of $I_{\mathcal{P}}$ with respect to $<_{\text{lex}}$ if and only if, for any two intervals [a,b] and [b,c] of \mathcal{P} , either [e,c] or [d,c] is an interval of \mathcal{P} , where d and e are the anti-diagonal corners of [a,b].

Corollary 1.2. Let $\mathcal{I} \subset \mathbb{N}^2$ be an interval of \mathbb{N}^2 and \mathcal{P} a convex polyomino which is a subpolyomino of $\mathcal{P}_{\mathcal{I}}$. Let $\mathcal{P}^c = \mathcal{P}_{\mathcal{I}} \setminus \mathcal{P}$. Then the set of inner 2-minors of \mathcal{P}^c forms a reduced Gröbner basis of $I_{\mathcal{P}^c}$ with respect to $<_{\text{lex}}$.

Proof. Suppose that there exist intervals [a, b] and [b, c] of \mathcal{P}^c such that neither [e, c] nor [d, c] is an interval of \mathcal{P}^c , where d and e are the anti-diagonal corners of [a, b]. Then one can choose a cell C of $\mathcal{P}_{[e,c]}$ and a cell D of $\mathcal{P}_{[d,c]}$ such that C and D belong to \mathcal{P} . Now, since \mathcal{P} is a polyomino, it follows that there is a path of cells $C = C_1, C_2, \ldots, C_n = D$ of \mathcal{P} connecting C with D. Then one of the situations drawn in Figure 1 occurs. Let C = [a', a' + (1, 1)].



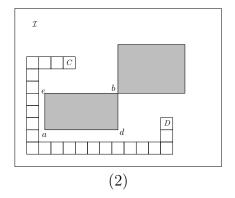


FIGURE 1.

In other words, there is 1 < j < n for which $C_j = [c', c' + (1, 1)]$ satisfies one of the followings:

- (i) if $a' = (\xi', \nu'), c' = (\xi'', \nu''), c = (\xi, \nu)$, then $\nu' = \nu''$ and $\xi'' > \xi$;
- (ii) if $a' = (\xi', \nu'), c' = (\xi'', \nu''), a = (\xi_0, \nu_0)$, then $\xi' = \xi''$ and $\nu'' < \nu_0$.

Since \mathcal{P} is convex, it follows that, in (i) one has $[C, C_j] \subset \mathcal{P}$, and in (ii) one has $[C_j, C] \subset \mathcal{P}$. However, $[C, C_j] \cap \mathcal{P}_{[a,b]} \neq \emptyset$ in (i) and $[C_j, C] \cap \mathcal{P}_{[a,b]} \neq \emptyset$ in (ii), each of which contradicts $\mathcal{P} \cap \mathcal{P}^c = \emptyset$.

2. Nonsimple polyominoes whose polyomino ideals are prime

We now come to the main result of the present paper.

Theorem 2.1. Let $\mathcal{I} \subset \mathbb{N}^2$ be an interval of \mathbb{N}^2 and \mathcal{P} a convex polyomino which is a subpolyomino of $\mathcal{P}_{\mathcal{I}}$. Let $\mathcal{P}^c = \mathcal{P}_{\mathcal{I}} \setminus \mathcal{P}$ and suppose that \mathcal{P}^c is a polyomino. Then the polyomino ideal $I_{\mathcal{P}^c}$ is a prime ideal.

Proof. We may assume that $B(\mathcal{P}) \cap B(\mathcal{P}_{\mathcal{I}}) = \emptyset$; otherwise, \mathcal{P} is a simple polyomino (see Figure 2) and, as was stated, the result follows from [4] and [8].

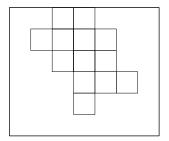


Figure 2.

Let $\mathcal{I} = [a, b]$ and c and d be the anti-diagonal corners of [a, b], where b and c are in horizontal position. It follows from Theorem 1.2 that x_c cannot divide the initial monomial of any binomial belonging to the reduced Gröbner basis of $I_{\mathcal{P}}$ with respect to $<_{\text{lex}}$. Hence x_c is a nonzero divisor of $S/\text{in}_{<_{\text{lex}}}(I_{\mathcal{P}^c})$ and thus x_c is a nonzero divisor of $S/I_{\mathcal{P}^c}$ as well. Hence the localization map $S/I_{\mathcal{P}^c} \to (S/I_{\mathcal{P}^c})_{x_c}$ is injective. Here $(S/I_{\mathcal{P}^c})_{x_c}$ is the localization of $(S/I_{\mathcal{P}^c})_{x_c}$ at x_c . Thus, in order to prove that $S/I_{\mathcal{P}^c}$ is an integral domain, it suffices to show that $(S/I_{\mathcal{P}^c})_{x_c} = S_{x_c}/(I_{\mathcal{P}^c})_{x_c}$ is an integral domain. For this, we will show that $(I_{\mathcal{P}^c})_{x_c} = I_{\mathcal{P}'}$, where \mathcal{P}' is a simple subpolyomino of \mathcal{P}^c , which guarantees that $(I_{\mathcal{P}^c})_{x_c}$ is a prime ideal ([4] and [8]).

Let $\mathcal{A} = \{p_1, \dots, p_n\}$ denote the set of those $p_i \in V(\mathcal{P}^c)$ for which there is an interval $[r_i, q_i]$ of \mathcal{P}^c whose anti-diagonal corners are c and p_i . See Figure 3.

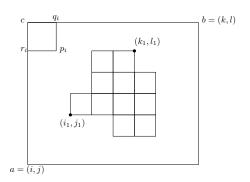


FIGURE 3.

One has $r_i \in [a, c]$ and $q_i \in [c, b]$. Since $x_{r_i} x_{q_i} - x_c x_{p_i} \in I_{\mathcal{P}^c}$ and since the variable x_c is invertible in S_{x_c} , one has $x_{p_i} = x_{q_i} x_{r_i} x_c^{-1}$ in $S_{x_c}/(I_{\mathcal{P}^c})_{x_c}$ Thus, in $S_{x_c}/(I_{\mathcal{P}^c})_{x_c}$, the variables x_{p_i} with $p_i \in \mathcal{A}$ can be ignored.

Let p_i and p_j belong to \mathcal{A} for which $[p_i, p_j]$ is an interval in \mathcal{P}^c . It then follows that the anti-diagonals of $[p_i, p_j]$ are also contained in \mathcal{A} . Thus $f_{p_i, p_j} = x_{p_k} x_{p_\ell} - x_{p_j} x_{p_i}$, where p_k and p_ℓ are the anti-diagonal corners of $[p_i, p_j]$.

Let $[v, p_i]$ be an interval of \mathcal{P}^c with $p_i \in A$ and $v \notin A$, then by using the fact that $[r_i, p_i] \setminus \{r_i\} \subset A$, it follows that the anti-diagonal corner $p_{i'}$ of $[v, p_i]$ which is in horizontal position with p_i belongs to A. Let v' be the other anti-diagonal corner of $[v, p_i]$. Since $r_i = r_{i'}$, the inner 2-minor $x_v x_{p_i} - x_{v'} x_{p_{i'}} \in I_{\mathcal{P}^c}$ can be written as $x_{r_i}(x_v x_{q_i} - x_{v'} x_{q_{i'}})$ in $(I_{\mathcal{P}^c})_{x_c}$. Hence $x_v x_{p_i} - x_{v'} x_{p_{i'}}$ is a multiple of $x_v x_{q_i} - x_{v'} x_{q_{i'}}$ in $(I_{\mathcal{P}^c})_{x_c}$. Similarly, if $[p_i, v]$ is an interval of \mathcal{P}^c with $p_i \in A$ and $v \notin A$ and if $p_{i'} \in A$ and $v' \notin A$ are the anti-diagonal corner of $[p_i, v]$, then $x_v x_{p_i} - x_{v'} x_{p_{i'}}$ is a multiple of $x_v x_{r_i} - x_{v'} x_{r_{i'}}$ in $(I_{\mathcal{P}^c})_{x_c}$.

Let \mathcal{P}' be the collection of cells contained in \mathcal{P}^c obtained by removing all the cells that appear in $\bigcup_{i=1}^n \mathcal{P}_{[r_i,q_i]}$. Let a=(i,j) and $b=(k,\ell)$. Then $c=(i,\ell)$. We choose $(i_1,j_1) \in V(\mathcal{P})$ such that, for any $(i_2,j_2) \in V(\mathcal{P})$, one has either $i_1 < i_2$ or $(i_1=i_2)$ and $i_1 < i_2$. Similarly, we choose $(k_1,\ell_1) \in V(\mathcal{P})$ such that, for any $(k_2,\ell_2) \in V(\mathcal{P})$, one has either $\ell_1 > \ell_2$ or $(\ell_1 = \ell_2)$ and $\ell_1 > \ell_2$. In $V(\mathcal{P}')$, we identify the vertical interval $[a,(i,j_1)]$ with $[(i_1,j),(i_1,j_1)]$, and the horizontal interval $[(k_1,\ell_1),(k,\ell_1)]$ with $[(k_1,\ell_1),b]$. Then, with this identification and by using the above discussion, one has $I_{\mathcal{P}'} = (I_{\mathcal{P}^c})_{x_c}$.

Now, what we must prove is that \mathcal{P}' is a simple polyomino. First we claim that \mathcal{P}' is a polyomino. Let \mathcal{B} be the collection of border cells of $\mathcal{P}_{[a,b]}$ belonging to \mathcal{P}' . Then \mathcal{B} is connected. Since every cell of \mathcal{P}' is connected to at least one of the cells belonging to \mathcal{B} . Hence \mathcal{P}' is connected. Thus \mathcal{P}' is a polyomino, as desired. Second, we claim that \mathcal{P}' is simple. Let \mathcal{J} be an interval such that $\mathcal{P}' \subset \mathcal{P}_{[a,b]} \subset \mathcal{P}_{\mathcal{J}}$. If \mathcal{P}' is not a simple polyomino, then one has a cell $D \notin \mathcal{P}'$ for which every path connecting D with a cell not belonging to $\mathcal{P}_{\mathcal{J}}$ is interrupted by some cell of \mathcal{P}' . The inclusion $\mathcal{P}' \subset \mathcal{P}^c$ shows that D must be a cell of the convex polyomino \mathcal{P} . Then all the cells of \mathcal{P}^c whose edge sets intersect $B(\mathcal{P})$ must be contained in \mathcal{P}' , which cannot be possible by our construction of \mathcal{P}' . Hence \mathcal{P}' is simple, as required.

3. TORIC IDEALS OF FINITE GRAPHS

As was stated in Introduction, one of the most exciting algebraic problems on polyominoes is when a polyomino ideal is a prime ideal. The fact ([4] and [8]) that the polyomino ideals of simple polyominoes are prime seems to be of interest. However, it turns out that these binomial ideals belong to a subclass of binomial ideals arising from Koszul bipartite graphs ([7]). Thus, form a view point of finding a new class of binomial prime ideals, the study of polyomino ideals of nonsimple polyominoes is indispensable.

In fact, the polyomino ideals of Theorem 2.1 cannot come from finite simple graphs. (We say that a binomial ideal I comes from a finite simple graph if I coincides with a toric ideal [6] arising from a finite simple graph.) More generally, we can show that

Theorem 3.1. Let $\mathcal{I} \subset \mathbb{N}^2$ be an interval of \mathbb{N}^2 and \mathcal{P} a simple polyomino which is a subpolyomino of $\mathcal{P}_{\mathcal{I}}$. Let $\mathcal{P}^c = \mathcal{P}_{\mathcal{I}} \setminus \mathcal{P}$ and suppose that \mathcal{P}^c is a polyomino. Then its polyomino ideal cannot come from a finite simple graph.

Proof. Let \mathcal{J} be the smallest interval in \mathbb{N}^2 such that $\mathcal{P} \subset \mathcal{J}$. We choose x_1, \ldots, x_{16} belonging to $V(\mathcal{P}^c)$, as shown in Figure 4, where \mathcal{P} is shown by grey region and where $\mathcal{J} = [x_{10}, x_7]$.

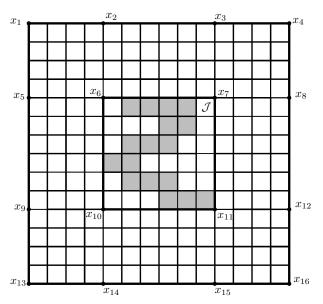


FIGURE 4. Polyomino \mathcal{P}^c

Assume that there exists a finite simple graph G with vertex set V(G) and edge set E(G) such that the toric ideal I_G arising from G is equal to $I_{\mathcal{P}}$. Let $K[G] = K[t_it_j|\{i,j\} \in E(G)]$ be the edge ring of G. Then there exists an isomorphism $\phi: K[\mathcal{P}] \to K[G]$ such that for each $x_a \in K[\mathcal{P}]$ there exists a unique edge $\{i,j\} \in E(G)$ with $\phi(x_a) = t_it_j$.

The 2-minor $x_2x_7 - x_3x_6$ is an inner minor of \mathcal{P}^c and hence $\phi(x_2x_7) = \phi(x_3x_6)$. Let $\phi(x_2) = t_it_j$. Then $\phi(x_7) = t_kt_l$ where i, j, k, l are pairwaise distinct vertices of G and $\{i, j\}, \{k, l\} \in E(G)$. Then $\phi(x_3x_6) = t_it_jt_kt_l$ which shows that we have one of the following possibilities:

- (i) $\phi(x_3) = t_i t_k \text{ and } \phi(x_6) = t_i t_l;$
- (ii) $\phi(x_3) = t_i t_l$ and $\phi(x_6) = t_i t_k$;
- (iii) $\phi(x_3) = t_j t_k$ and $\phi(x_6) = t_i t_i$;
- (iv) $\phi(x_3) = t_i t_l$ and $\phi(x_6) = t_i t_k$.

We may assume that $\phi(x_3) = t_i t_k$ and $\phi(x_6) = t_j t_l$. The discussion for other cases is similar. By using the inclusion $x_1 x_6 - x_2 x_5 \in I_{\mathcal{P}^c}$ and that $\phi(x_2) = t_i t_j$ and $\phi(x_6) = t_j t_l$, we see that $\phi(x_1) = t_i t_p$ and $\phi(x_5) = t_l t_p$ where $\{i, p\}, \{l, p\} \in E(G)$ for some $p \in V(G) \setminus \{i, j, k, l\}$. Note that $p \neq k$ because otherwise $\phi(x_5) = \phi(x_7) = t_k t_l$, which is not possible. Now from $x_5 x_{10} - x_6 x_9 \in I_{\mathcal{P}^c}$ and $\phi(x_5) = t_p t_l$, $\phi(x_6) = t_j t_l$, we obtain $\phi(x_{10}) = t_j t_q$ and $\phi(x_9) = t_p t_q$ for some $q \in V(\mathcal{P}^c) \setminus \{i, p, l, j\}$. Continuing in the same way, from $x_9 x_{14} - x_{10} x_{13} \in I_{\mathcal{P}^c}$ and $\phi(x_9) = t_p t_q$ and $\phi(x_{10}) = t_j t_q$, we get $\phi(x_{14}) = t_r t_j$ and $\phi(x_{13}) = t_r t_p$ for some $r \in V(\mathcal{P}^c) \setminus \{i, j, l, p, q\}$. Then, by using $x_{10} x_{15} - x_{11} x_{14} \in I_{\mathcal{P}^c}$, $\phi(x_{10}) = t_j t_q$ and $\phi(x_{14}) = t_r t_j$, we get $\phi(x_{15}) = t_s t_r$ and $\phi(x_{11}) = t_s t_q$ for some $s \in V(\mathcal{P}^c) \setminus \{j, p, q, r\}$.

Furthermore, by using $x_3x_8 - x_4x_7 \in I_{\mathcal{P}^c}$, $\phi(x_3) = t_it_k$ and $\phi(x_7) = t_kt_l$, we obtain $\phi(x_4) = t_it_y$ and $\phi(x_8) = t_lt_y$ for some $y \in V(G) \setminus \{i, k, l, j, p\}$. Similarly, from $x_7x_{12} - x_{11}x_8 \in I_{\mathcal{P}^c}$, $\phi(x_7) = t_kt_l$, $\phi(x_8) = t_yt_l$ and $\phi(x_{11}) = t_st_q$, it follows that $t_k|t_st_q$. Thus one has either k = s and $\phi(x_{12}) = t_qt_y$ or k = q and $\phi(x_{12}) = t_st_y$.

Let k = s. Then $\phi(x_6x_{11} - x_7x_{10}) = (t_jt_l)(t_kt_q) - (t_kt_l)(t_jt_q) = 0$, which guarantees $x_6x_{11} - x_7x_{10} \in I_G$. However, one has $x_6x_{11} - x_7x_{10} \notin I_{\mathcal{P}^c}$, because it is not an inner minor of \mathcal{P}^c , and it gives us a contradiction to our assumption $I_G = I_{\mathcal{P}^c}$. Hence k = q and $\phi(x_{12}) = t_st_y$. But then $x_{11}x_{16} - x_{12}x_{15} \in I_{\mathcal{P}^c} = I_G$, $\phi(x_{12}x_{15}) = (t_st_y)(t_st_r)$ and $\phi(x_{11}) = t_st_k$. Thus one has either k = r or k = s, which is not possible; otherwise either $\phi(x_{11}) = t_st_r = \phi(x_{15})$ or $\phi(x_{11}) = t_s^2$. As a result, we conclude that $I_G \neq I_{\mathcal{P}^c}$ for any finite simple graph G.

Finally, it may be conjectured that the polyomino ideal $I_{\mathcal{P}}$ of a polyomino \mathcal{P} comes from a finite simple graph if and only if \mathcal{P} is nonsimple. Furthermore, Theorem 2.1 might be true when \mathcal{P} is simple.

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